

## PERSONAL INFORMATION

### 1 Personal details

Surname: \_\_\_\_\_

Forenames: \_\_\_\_\_

Title (Mr/Mrs/Ms/Other): \_\_\_\_\_ Date of birth: \_\_\_\_\_

Home address: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Postcode: \_\_\_\_\_

Do you own the property?  Yes  No

Time at current address: \_\_\_\_\_ Years \_\_\_\_\_ Months

Previous address if less than two years: \_\_\_\_\_  
 \_\_\_\_\_

Postcode: \_\_\_\_\_

Where did you hear about us? \_\_\_\_\_

Address for correspondence (if different to Home Address above): \_\_\_\_\_  
 \_\_\_\_\_

Postcode: \_\_\_\_\_

### 2 Contact numbers

Business telephone: \_\_\_\_\_

Home telephone (landline): \_\_\_\_\_

Mobile: \_\_\_\_\_

Fax: \_\_\_\_\_

Email address (mandatory): \_\_\_\_\_

### 3 Employment details

We shall not contact your employer for references.  
 If self-employed please give details.

Employer's name and address: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Postcode: \_\_\_\_\_

### 3 Employment details (continued)

Time with this employer: \_\_\_\_\_ Years \_\_\_\_\_ Months

Nature of business: \_\_\_\_\_

Position held: \_\_\_\_\_

### 4 Financial details

Name of Bank/Building Society: \_\_\_\_\_

Address of Bank/Building Society: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Postcode: \_\_\_\_\_

Account name: \_\_\_\_\_

A/c No.: \_\_\_\_\_

BSB No.: \_\_\_\_\_

### 5 Income/savings details (mandatory)

Approx. annual income before tax over last 3 years: **A\$** \_\_\_\_\_

Approx. value of savings and investments: **A\$** \_\_\_\_\_

Approx. how much of these savings are available for your trading with us: **A\$** \_\_\_\_\_

### 6 Identification

We will verify your identity before you can trade on your account. To do this we will use a combination of electronic identification methods and documents where necessary.

Do you have an Australian Driver's Licence?  Yes  No

If yes please provide your Driver's Licence number: \_\_\_\_\_

Please provide your Passport number: \_\_\_\_\_

Country of issue: \_\_\_\_\_

Further documentation verifying your identity may be required during our account opening process.

## TYPE OF ACCOUNT (PLEASE TICK ONE BOX ONLY)

I am applying for a **Standard Account**

With a Standard Account, you must have sufficient funds on the account to cover the initial margin requirement before opening a position. You must also be in a position to meet any subsequent margin call on a same-day basis. A Standard Account can place trades with or without a specified stop-loss.

I am applying for a **Limited Risk Account**

With a Limited Risk Account, you specify a stop-loss level for every position you open. We guarantee that, should the market move against you, your position will be closed at exactly the selected level, even if there is a very sharp overnight move.

There is no minimum account balance for either type of account.

## INVESTMENT EXPERIENCE

1. Do you understand the nature and risks of margined (or geared) transactions?  Yes  No

2. Do you have experience of trading margined (or geared) products; for example spot FX, futures, options, warrants or spread betting?  Yes  No

If yes: What type of investments do you have experience of?

How many years' experience do you have, and approximately how often do you deal?

Which banks, brokers or counterparties have you dealt with?

3. Do you have experience of dealing shares?  Yes  No

If yes: Have you made the trading decisions yourself?

How many years' experience do you have, and approximately how often do you deal?

Which banks, stockbrokers or counterparties have you dealt with?

## NON-PROFESSIONAL USER

Please complete the following declaration in order to be classified as a non-professional user of our service. This will enable us to supply you with data feeds quickly and easily. If these terms do not apply to you, please contact us for more information.

In order to be classified as a non-professional user of the market data that we provide, you must hereby declare that:

- you will subscribe to the service as an individual, and in a personal capacity for your own benefit;
- you will use the service solely in relation to the management of your personal funds and not as an investment advisor, broker to the public or for the investment of third party or corporate funds;
- if you are employed by an organisation carrying out professional financial or investment activities and/or if you are currently registered or qualified as a professional securities trader or investment advisor with any national or regional exchange, regulatory authority, professional association or recognised body, you agree and undertake that your access to the service will be strictly for your sole personal use only; and
- you will not distribute, disseminate, republish or otherwise provide or make available any data from the service to any third party in any manner whatsoever.

I declare that the above statements as they apply to me, are true and correct. Further, I undertake to notify Kinetic CFD if there is any change to my status such that any one of the statements above becomes untrue.

> Signature \_\_\_\_\_ Date \_\_\_\_\_

## DECLARATIONS

I have read and understood the current Product Disclosure Statement, including the Customer Agreement. I hereby consent to be bound by the terms of the Customer Agreement in my dealings with you. I confirm that I will not supply, show or make available or permit to be supplied, shown or made available any market data from any service therefore, provided by Kinetic CFD, to any third party in any manner. I will not use any data from the service to establish, maintain or provide, or assist in providing a market for trading in securities or other financial instruments which is not authorised by law.

> Signature \_\_\_\_\_ Date \_\_\_\_\_

Please return completed form to Kinetic CFD, GPO Box 2518, Sydney, NSW 2001, Australia

Please note that confirmations and statements are automatically sent by email. To alter how you receive communications, please call us on 1300 306 114.

## POWER OF ATTORNEY

**THIS POWER OF ATTORNEY** is granted on the \_\_\_\_\_ day of \_\_\_\_\_ 200 \_\_\_\_\_

I/We: \_\_\_\_\_  
(name of client, individual or company) (the '**Customer**')

hereby appoint Kinetic Securities Pty Ltd (the '**Attorney**') to be the Customer's Attorney for the following purposes:

1. To do business with **Kinetic CFD** (the "**Company**") pursuant to the customer agreement with IG and in accordance with the rules applicable thereto; and
2. For that purpose to:
  - a. open, operate and close the Customer's account (as appropriate) with the exclusion of cash withdrawals and third party payments;
  - b. deal on the Customer's behalf with the Company which the Attorney undertakes on such terms as the Attorney shall determine in its discretion, including (without limitation) entering into transactions using any electronic or online trading system provided by the Company to the Attorney, or by giving oral or written instructions;
  - c. make margin payments on the Customer's account;
  - d. do anything else contemplated by the customer agreement which is reasonably necessary for the purposes of the Customer's dealing with the Company pursuant to that agreement.
3. The Customer authorises the Company to accept all instructions for its account, whether orally or in writing, from the Attorney and its servants and agents. The Company shall not be obliged to make any enquiry of the Customer or of any other account, account holder or person before acting on such instructions.
4. The Customer accepts full responsibility and liability for all such instructions (and for all transactions that may be entered into as a result) and will indemnify the Company and keep it indemnified against any loss, damage or expense incurred by it as a result of its acting on such instructions. This indemnity shall be effective:
  - whatever the circumstances giving rise to such loss, damage or expense;
  - whatever the knowledge, acts or omissions of the Company in relation to any other account held by any other person or body (including the attorney named above) with the Company.
5. The Customer further agrees that this indemnity shall extend to loss, damage or expense incurred by the Company in reversing incorrect or erroneous instructions submitted by the Attorney that result in a transaction that must, for the protection of the Company or its other customers or for reasons of market integrity, be reversed.
6. The Customer acknowledges and accepts the inherent risk that online or electronic communications may not reach their intended destination or may do so much later than intended for reasons outside your control. The Customer accepts that it bears the risk of the Attorney's instructions being lost for any reason whatsoever (including, without limitation, malfunctions in any electronic or online trading system).
7. The Customer acknowledges and accepts that, in providing an electronic or online trading system to the Attorney, the Company has the right but not the obligation to set limits, controls, parameters and/or other controls on the Attorney's ability to use such a system. The Customer accepts that if the Company chooses not to place any such limits or controls on the Attorney's trading, or if such limits or controls fail for any reason, the Company will not exercise oversight or control over such instructions given by the Attorney and the Customer accepts full responsibility and liability for the Attorney's actions in such circumstances.
8. The Customer undertakes to ratify whatever the Attorney does under the authority or purported authority of this power. The Customer agrees to indemnify the Attorney against all expenses, losses and liabilities incurred by it when acting in pursuance of this power.
9. This authorisation may only be amended or revoked by the Customer in writing. Unless notified otherwise in writing by the Company, any such variation or revocation shall not be effective until two working days after it is received by the Company. The Customer acknowledges that it will remain liable for all instructions given to the Company prior to the revocation/variation being effective, and that it will be responsible for any losses which may arise on any transactions which are open at such time. The Customer will indemnify and keep the Company indemnified in respect of any such losses.
10. This Deed shall be governed by and construed in accordance with the laws of the State of Victoria and I irrevocably submit to the jurisdiction of the Victorian courts in relation to any dispute arising out of this Deed.

## POWER OF ATTORNEY (CONTINUED)

### CUSTOMER

\_\_\_\_\_  
Name of Customer

\_\_\_\_\_  
Customer's Account Number with the Company (if known)

- If the Customer is **an individual**, they should sign once below with a Witness present
- If the Customer is **a company**, then two duly authorised signatories should sign below

> Signature \_\_\_\_\_ Date \_\_\_\_\_

> Signature \_\_\_\_\_ Date \_\_\_\_\_

*in the presence of:*

### WITNESS (NOT REQUIRED IF THE CUSTOMER IS A COMPANY)

\_\_\_\_\_  
Name of Witness

\_\_\_\_\_  
Occupation

\_\_\_\_\_  
Address

> Signature \_\_\_\_\_ Date \_\_\_\_\_

### THE ATTORNEY

I, Kinetic Securities Pty Ltd, declare that I am the Attorney referred to above and that the following is my true signature and that, if I am signing on behalf of a company, I am its duly authorised signatory.

> Signature \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Name of Signatory

\_\_\_\_\_  
Position